Electronic Acknowledgement Receipt

EFS ID:	4588761
Application Number:	10677838
International Application Number:	
Confirmation Number:	9758
Title of Invention:	Methods, systems and securities for assuring a company an opportunity to sell stock after a specified time
First Named Inventor/Applicant Name:	Emerson P. Jones
Customer Number:	32361
Filer:	Barry J. Schindler/Christine Lucas
Filer Authorized By:	Barry J. Schindler
Attorney Docket Number:	06878.116901
Receipt Date:	09-JAN-2009
Filing Date:	02-OCT-2003
Time Stamp:	17:03:32
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	$1650
RAM confirmation Number	2658
Deposit Account	501561
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		jones_noa.pdf	139414 63b38fbf1cb4a54e64b10c03663a59a0f2dda242	yes	3

	Multipart Description/PDF files in .zip description		
	Document Description	Start	End
	Miscellaneous Incoming Letter	1	1
	Notice of Appeal Filed	2	2
	Extension of Time	3	3

Warnings:

Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	32021 ebd0c06994b5165a346fe57b545e8d5bc0c94917	no	2

Warnings:

Information:

Total Files Size (in bytes):	171435

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.